



Theodore Liftman Insurance, Inc.

FINANCIAL INSURANCE

Liftman Insurance Agency Co. (NC, TX, CA) Liftman Insurance Agency (NY)

In-House Pension Plan ERISA Bond Coverage

Section 412 of the Employee Retirement Income Security Act (ERISA) requires a bond for pension, profit-sharing, and thrift plans. The bond amount must not be less than 10% of the highest amount of funds handled in the preceding fiscal year of the plan up to a maximum bond of \$500,000. If a plan is new or does not have a full preceding plan year, estimate the highest amount to be in the plan.

Plans with “employer securities” call 617-439-9595 for regulations and rate information.

Bond Amounts and Premiums

Premiums for all states except New York*

Bond Amount	3 Year Prepaid Premium (3 year policy term)
\$300,000	\$284
\$400,000	\$324
\$500,000	\$365

Premiums for New York only*

Bond Amount	3 Year Prepaid Premium (3 year policy term)
\$300,000	\$316
\$400,000	\$359
\$500,000	\$405

*This bond is only for plans with 5 or fewer trustees/fiduciaries. Rates do not apply to plans sponsored by labor unions.

All of the above premiums include ERISASHIELD coverage which automatically increases the bond amount to ensure regulatory compliance at time of loss, subject to bond terms and conditions.

To order this ERISA bond, complete and return the application to Theodore Liftman Insurance, Inc. Coverage will be issued by a company in The Hartford Financial Services Group, Inc. and you will receive your invoice directly from the insurance company.

ERISA Bond Application

Contact Name: _____ Phone No.: _____

Plan Sponsor: _____

Street Address: _____ Fax No.: _____

City: _____ State: _____ Zip: _____ Email: _____

Exact Plan Name: _____

(Plan name can be found in your adoption agreement)

Any plan fraud or dishonesty losses in the past 3 years? No Yes (If yes, attach details)

Bond Effective Date: _____ Number of Trustees: _____ Assets Per Plan: _____

Today's Date: _____ Signature: _____ Title: _____

Bond Amount: \$300,000 \$400,000 \$500,000

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Advisors Professional Liability - ERISA and Fidelity Bonds - Directors & Officers Liability - State Surety Bonds - Cyber Fraud